

**COLORADO DEPARTMENT OF TRANSPORTATION
CATEGORICAL EXCLUSION
DETERMINATION**

Date:	Revision Date:	Project Code #:
Project #:		

Project Name: _____

Project Description: _____

A. Categorical Exclusion Project Determination

1. This project has a Federal nexus (yes or no) _____.

2. This project fits Categorical Exclusion or Programmatic CE number _____

3. CDOT _____ design plans dated _____ were referenced in selecting the Clearance Actions required in Block B of this form.

B. Clearance Actions

REQUIRED If not checked is Not Applicable	DATE COMPLETED	REQUIRED If not checked is Not Applicable	DATE COMPLETED
<input type="checkbox"/> Air Quality (hot spot analysis)	_____	<input type="checkbox"/> Paleontology	_____
<input type="checkbox"/> Noise	_____	<input type="checkbox"/> Archaeology	_____
<input type="checkbox"/> Hazardous Waste	_____	<input type="checkbox"/> History	_____
<input type="checkbox"/> - ISA Checklist	_____	<input type="checkbox"/> Historic Bridge	_____
<input type="checkbox"/> - MESA (or Phase I)	_____	<input type="checkbox"/> 4(f)	_____
<input type="checkbox"/> Threatened or Endangered Species	_____	<input type="checkbox"/> 6(f) Agreements	_____
<input type="checkbox"/> Wetland Delineation (survey)	_____	<input type="checkbox"/> Other	_____

All required clearance actions indicated have been completed for the work described in the design plans referenced above. No significant environmental impacts will result from this project. All Permits and Additional Requirements indicated in Part C below will be obtained before project advertisement/construction. Implementation of project shall include required mitigation commitments.

RPEM Signature _____ Date _____ Region # _____

I concur in the above category designation and the scope of environmental clearance/permits indicated.
 FHWA Division Administrator Signature (when required) (Please return form to RPEM) _____ Date _____

C. Permits and Additional Requirements

REQUIRED COMPLETED If not checked is Not Applicable	DATE COMPLETED	REQUIRED If not checked is Not Applicable	DATE
<input type="checkbox"/> 404 Permit	_____	<input type="checkbox"/> CO Parks & Wildlife SB 40	_____
<input type="checkbox"/> 401 Certification	_____	<input type="checkbox"/> Wetland Finding	_____
<input type="checkbox"/> 402 Certification	_____	<input type="checkbox"/> APCD Bridge/Structure Demo Permit	_____
<input type="checkbox"/> Const Dewatering Permit	_____	<input type="checkbox"/> Hazardous Materials (Phase II)	_____
<input type="checkbox"/> Const Stormwater Permit	_____	<input type="checkbox"/> 6(f) Completion	_____
<input type="checkbox"/> Floodplains Development Permit	_____	<input type="checkbox"/> Other	_____

D. Comments

E. Environmental Project Certification

All clearance and permit requirements for this project have been completed and mitigation included in the _____ set of plans and specifications dated _____. The appropriate documentation is on file in the Region office.

RPEM Signature _____ Date _____

Note to Project Manager: Any changes to the plans and specifications after the date of the RPEM signature in Part B that affect environmental impacts or mitigation must be approved by the RPEM.

Previous editions are obsolete and may not be used

CDOT Form #128a 4/13

Distribution:
 RPEM (original); copies to: Project Manager, Region Right of Way (if ROW required), Central Files

Instructions for CDOT Form #128, Categorical Exclusion Determination

1. Completion of CDOT Form #128 is required for all Categorical Exclusion (CE) projects. Parts A and B must be completed for right of way authorization and obligation of federal funds. Parts A, B, C, and E must be completed prior to project advertisement.
2. FHWA signature is required for all federally funded CEs unless programmatic approval has been granted. Following signature, the FHWA will retain a copy of the 128 and return the original to the RPEM. The RPEM will be responsible for distributing copies within CDOT and maintaining the original within the Region. (Programmatic means the types of projects determined by FHWA that may be automatically excluded from FHWA category designation approval because of their type and actions and based on an evaluation using agreed upon criteria.)
3. FHWA approval may be requested for federally funded projects that are programmatically granted CE status if a 404 permit is required. The purpose for the request shall be stated in Part D, Comments.
4. If FHWA signature is not required, the RPEM will enter "N/A" on the FHWA concurrence line of Part B.
5. The project actions which qualify a project for CE designation must be entered in Part A, #1 of the 128. The designation must be taken from 23 CFR 771.117 (a) - (d) or from the current list of additional programmatic CEs approved by the FHWA. The CE designation comes from the June 24, 1998 CDOT/FHWA expanded CE list. Paragraph (a) CEs require a transmittal letter of explanation to FHWA.
6. If it is necessary for the Environmental Programs Branch to prepare a 128 for Statewide projects, the EPB manager will be responsible for clearances, certification, and appropriate distribution.
7. If project revisions change the clearance/permit requirements, revised CDOT Forms #128 and #463 are required.
8. In Part E the RPEM must indicate the set of plans and specifications (Final Office Review, advertisement, award, etc.) which were reviewed prior to certification. The date of these plans must be provided.